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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

STL11170

First Named Inventor

Michael W. Pfeiffer

COMPLETE IF KNOWN

Application Number

Filing Date

Herewith

Art Unit

Unknown

Examiner Name

Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DISC CASSETTE DELIDDER AND FEEDER SYSTEM FOR DATA STORAGE DEVICES**

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number:  OR ☒ Correspondence address below

Name

Mitchell K. McCarthy, Seagate Technology LLC

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Oklahoma City

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OK

ZIP

73127-7140

Country

US

Telephone

405-577-7239

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405-324-4118

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

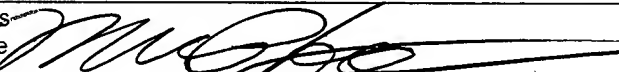
Michael W.

Family Name

or Surname

Pfeiffer

Inventor's  
Signature



Date

12/8/03

Residence: City

Savage

State

MN

Country

US

Citizenship

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Mailing Address

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City

Savage

State

MN

ZIP

55378

Country

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

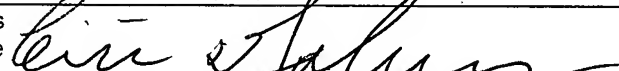
Eric D.

Family Name

or Surname

Johnson

Inventor's  
Signature



Date

12/8/03

Residence: City

Minneapolis

State

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Country

US

Citizenship

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4603 Pleasant Ave South

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Minneapolis

State

MN

ZIP

55409

Country

US

☐

Additional inventors or a legal representative are being named on the \_\_\_\_\_ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

**Express Mail Receipt No. ER442561020US**  
**Deposited on December 15, 2003**

**PATENT**  
**DKT. STL11170**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s):	Michael W. Pfeiffer et al.		
Serial No.:	Not yet assigned	Examiner:	Unknown
Filed:	Herewith	Group Art Unit:	Unknown
Title:	DISC CASSETTE DELIDDER AND FEEDER SYSTEM FOR DATA STORAGE DEVICES		
Docket:	STL11170		

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**  
**(REVOCAION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified application,

**REVOCAION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

the following practitioners/patent agent are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Members of the firm of Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

Randall K. McCarthy, Registration No. 39,297	Terry L. Watt, Registration No. 42,214
Bill D. McCarthy, Registration No. 26,772	Scott R. Zingerman, Registration No. 35,422
Daniel P. Dooley, Registration No. 46,369	Fred H. Holmes, Registration No. 43,677
R. Alan Weeks, Registration No. 36,050	James F. Lea, III, Registration No. 41,143
Dennis D. Brown, Registration No. 33,559	

In addition, the undersigned appoints

Derek J. Berger, Registration No. 45,401	Paul T. Dietz, Registration No. 38,858
David K. Lucente, Registration No. 36,202	Carol I. Bordas, Registration No. 37,284
Kirk A. Cesari, Registration No. 47,479	Joseph F. Villella, Jr., Registration No. 30,599
Jennifer M. Buenzow, Registration No. 50,124	Jesus Del Castillo, Registration No. 51,604
Mitchell K. McCarthy, Registration No. 38,794	Brendan Hanley, Registration No. 52,429
Raghunath S. Minisandram, Registration No. 38,683	

and of Seagate Technology LLC as attorneys and/or patent agents with the full power to represent the Applicant in connection with this application.

**CHANGE OF ATTORNEY'S/AGENT'S ADDRESS IN APPLICATION**

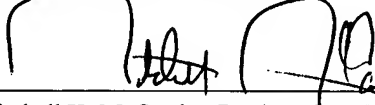
Seagate Technology LLC  
Intellectual Property – OKM178  
10321 West Reno  
Oklahoma City, OK 73127-7140  
Telephone No.: (405) 577-7239  
Fax No.: (405) 324-4118

**STATEMENT UNDER 37 CFR 3.73(b)**

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application/patent identified above. A copy of the Assignment is attached and/or was recorded in the Patent and Trademark Office at Reel , Frame . The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC  
(Assignee of Entire Interest)



Mitchell K. McCarthy, Registration No. 38,794  
SEAGATE TECHNOLOGY LLC  
Intellectual Property Dept. – OKM178  
10321 West Reno  
Oklahoma City, OK 73127-7140  
(405) 577-7239 (telephone)  
(405) 324-4118 (facsimile)

12/11/2003  
Date

**COPY**

**Express Mail Receipt No. ER442561020US  
Deposited on December 15, 2003**

Dkt. STL 11170

WHEREAS, I/we, Michael W. Pfeiffer of 7413 Taylor Ct, Savage, Minnesota 55378 and Eric D. Johnson of 4603 Pleasant Ave South, Minneapolis, Minnesota 55409 are the inventor(s) of an invention entitled DISC CASSETTE DELIDDER AND FEEDER SYSTEM FOR DATA STORAGE DEVICES that is the subject matter of: (check all that apply)

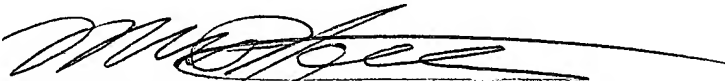
- ☒ provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 60/433,714 filed December 16, 2002;  
☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. \_\_\_\_\_ filed herewith; and  
☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. \_\_\_\_\_ and filed on \_\_\_\_\_ ; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified applications, all provisional applications for which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/We have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

  
Michael W. Pfeiffer (Inventor)

Subscribed and sworn to before me at Shakopee, MN, a Notary Public, this 8 day of Dec., 2003.  
(city or county and state)

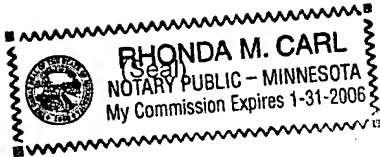


  
Notary Public

Commission No. \_\_\_\_\_

Eric D. Johnson  
Eric D. Johnson (Inventor)

Subscribed and sworn to before me at Shakopee, MN, a Notary Public, this 8 day of  
Dec., 2003.  
(city or county and state)



Rhonda Carl  
Notary Public

\_\_\_\_\_  
Commission No.